

7-10-07

### IAP6 Rec'd PCT/PTO 09 JUL 2007

**PATENT** 

Attorney Docket No. 05788.0406-00000 EXPRESS MAIL NO. EL 984625422 US

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. National Phase Application of: International Application No.:	) )
PCT/EP2004/002450	) Group Art Unit: 2828
Inventor: Pierpaolo BOFFI et al.	) Examiner: Not Yet Assigned
Application No.: 10/592,032	)
Filed: September 8, 2006	) Confirmation No.: 9399
For: METHOD AND APPARATUS FOR OPTICAL PHASE MODULATION	) )

## CERTIFICATE UNDER 37 CFR § 1.10 OF MAILING BY "EXPRESS MAIL"

EL 984625422US	July 9, 2007
USPS Express Mail Label Number	Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

y: Cecelia Dickerson

#### Attachments:

- 1. Response to Notice to File Missing Parts of Application 4 pages (including copy of Notice to File Missing Parts)
- 2. Declaration 3 pages
- 3. Recordation Form Cover Sheet 1 page
- 4. Assignment 3 pages
- 5. Receipt Postcard

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**Mail Stop Missing Parts**Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notification of Missing Requirements Under 35 U.S.C. § 371 mailed on May 9, 2007, Applicants submit a Declaration/Power of Attorney for filing in this application and a copy of the Notification of Missing Requirements. Please charge the \$130.00 fee associated with this response to our deposit account no. 06-0916.

Please associate the enclosed Declaration/Power of Attorney with the present application, grant any extensions of time required to enter this response, and charge any additional required fees to our deposit account 06-0916.

07/11/2007 GFREY1 00000140 060916 10592032 01 FC:1617 130.00 DA Application Serial No. 10/592,032 Attorney Docket No. 05788.0406-00000

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: July 9, 2007

Stephen E. Kabakoff

Reg. No. 51,276 (202) 408-4000



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/592,032

Pierpaolo Boffi

05788.0406

INTERNATIONAL APPLICATION NO.

PCT/EP04/02450

I.A. FILING DATE

PRIORITY DATE

03/10/2004

22852 FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413

**CONFIRMATION NO. 9399 371 FORMALITIES LETTER** \*OC000000023754361\*

Date Mailed: 05/09/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/08/2006
- Copy of the International Search Report filed on 09/08/2006
- Preliminary Amendments filed on 09/08/2006
- Information Disclosure Statements filed on 09/08/2006
- U.S. Basic National Fees filed on 09/08/2006



MAY 11 2007

Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

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#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION.

#### WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/592,032	PCT/EP04/02450	05788.0406

FORM PCT/DO/EO/905 (371 Formalities Notice)